

# Circulation

JOURNAL OF THE AMERICAN HEART ASSOCIATION



## **Evaluation of Bromocriptine in the Treatment of Acute Severe Peripartum Cardiomyopathy. A Proof-of-Concept Pilot Study**

Karen Sliwa, Lori Blauwet, Kemi Tibazarwa, Elena Libhaber, Jan-Peter Smedema,  
Anthony Becker, John McMurray, Hatice Yamac, Saida Labidi, Ingrid Struhman and  
Denise Hilfiker-Kleiner

*Circulation* published online Mar 22, 2010;

DOI: 10.1161/CIRCULATIONAHA.109.901496

*Circulation* is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX  
72514

Copyright © 2010 American Heart Association. All rights reserved. Print ISSN: 0009-7322. Online  
ISSN: 1524-4539

The online version of this article, along with updated information and services, is  
located on the World Wide Web at:

<http://circ.ahajournals.org>

Subscriptions: Information about subscribing to *Circulation* is online at  
<http://circ.ahajournals.org/subscriptions/>

Permissions: Permissions & Rights Desk, Lippincott Williams & Wilkins, a division of Wolters  
Kluwer Health, 351 West Camden Street, Baltimore, MD 21202-2436. Phone: 410-528-4050. Fax:  
410-528-8550. E-mail:  
[journalpermissions@lww.com](mailto:journalpermissions@lww.com)

Reprints: Information about reprints can be found online at  
<http://www.lww.com/reprints>

## Evaluation of Bromocriptine in the Treatment of Acute Severe Peripartum Cardiomyopathy A Proof-of-Concept Pilot Study

Karen Sliwa, MD, PhD; Lori Blauwet, MD; Kemi Tibazarwa, MD; Elena Libhaber, PhD; Jan-Peter Smedema, MD, MMed(Int); Anthony Becker, MD; John McMurray, MD, FESC; Hatice Yamac, MD; Saida Labidi, MSc; Ingrid Struhman, PhD; Denise Hilfiker-Kleiner, PhD

**Background**—Peripartum cardiomyopathy (PPCM) is a potentially life-threatening heart disease that occurs in previously healthy women. We identified prolactin, mainly its 16-kDa angiostatic and proapoptotic form, as a key factor in PPCM pathophysiology. Previous reports suggest that bromocriptine may have beneficial effects in women with acute onset of PPCM.

**Methods and Results**—A prospective, single-center, randomized, open-label, proof-of-concept pilot study of women with newly diagnosed PPCM receiving standard care (PPCM-Std; n=10) versus standard care plus bromocriptine for 8 weeks (PPCM-Br, n=10) was conducted. Because mothers receiving bromocriptine could not breast-feed, the 6-month outcome of their children (n=21) was studied as a secondary end point. Blinded clinical, hemodynamic, and echocardiographic assessments were performed at baseline and 6 months after diagnosis. Cardiac magnetic resonance imaging was performed 4 to 6 weeks after diagnosis in PPCM-Br patients. There were no significant differences in baseline characteristics, including serum 16-kDa prolactin levels and cathepsin D activity, between the 2 study groups. PPCM-Br patients displayed greater recovery of left ventricular ejection fraction (27% to 58%;  $P=0.012$ ) compared with PPCM-Std patients (27% to 36%) at 6 months. One patient in the PPCM-Br group died compared with 4 patients in the PPCM-Std group. Significantly fewer PPCM-Br patients (n=1, 10%) experienced the composite end point of poor outcome defined as death, New York Heart Association functional class III/IV, or left ventricular ejection fraction <35% at 6 months compared with the PPCM-Std patients (n=8, 80%;  $P=0.006$ ). Cardiac magnetic resonance imaging revealed no intracavitary thrombi. Infants of mothers in both groups showed normal growth and survival.

**Conclusions**—In this trial, the addition of bromocriptine to standard heart failure therapy appeared to improve left ventricular ejection fraction and a composite clinical outcome in women with acute severe PPCM, although the number of patients studied was small and the results cannot be considered definitive. Larger-scale multicenter and blinded studies are in progress to test this strategy more robustly. (*Circulation*. 2010;121:1465-1473.)

**Key Words:** cardiomyopathy ■ heart failure ■ hormones ■ parturition ■ pregnancy

Peripartum cardiomyopathy (PPCM) is characterized by new onset of heart failure between 1 month before and 5 months after delivery in previously healthy women.<sup>1</sup> The clinical presentation and management of PPCM and its outcome have been reviewed recently.<sup>1,2</sup> Only 23% to 54% of patients show recovery of cardiac function within 6 months.<sup>3-6</sup> Investigation of a large cohort of PPCM patients demonstrated that this condition is associated with a proinflammatory response, as evidenced by elevated plasma levels

of tumor necrosis factor- $\alpha$ , Fas-Apo-1, interleukin-6, and C-reactive protein (CRP).<sup>5,7,8</sup>

**Editorial see p 1463  
Clinical Perspective on p 1473**

We recently reported that enhanced oxidative stress in a mouse model for PPCM (mice with a cardiac-specific deletion for signal transducer and activator of transcription-3) triggers the activation of cathepsin D, a ubiquitous lysosomal

Received August 13, 2009; accepted January 11, 2010.

From the Hatter Cardiovascular Research Institute, Department of Medicine, Faculty of Health Sciences, University of Cape Town (K.S., K.T.), and Soweto Cardiovascular Research Unit, Department of Cardiology, University of the Witwatersrand, Johannesburg, South Africa (K.S., K.T., E.L., A.B.); Department of Cardiovascular Diseases, Mayo Clinic, Rochester, Minn (L.B.); Groote Schuur Hospital, University of Cape Town, Cape Town, South Africa (K.T.); Netcare N1 City Hospital, Cape Town, South Africa (J.-P.S.); British Heart Foundation Cardiovascular Research Centre, Glasgow, UK (J.M.); and Department of Cardiology and Angiology, Hannover Medical School, Hannover, Germany (H.Y., S.L., D.-H.K.) and GIGA-R, Liège, Belgium (I.S.).

This study is a proof-of-concept study and was initiated before the new Declaration of Helsinki 2008 was published. Therefore, it has not been registered as a clinical trial on a publicly accessible Web site.

Correspondence to Karen Sliwa, MD, PhD, FESC, FACC, DTM&H, Hatter Cardiovascular Research Institute, Department of Medicine, Medical School, Groote Schuur Hospital and University of Cape Town, Anzio Rd, Observatory, Cape Town 7925, South Africa. E-mail sliwa-hahnlek@mdh-africa.org

© 2010 American Heart Association, Inc.

*Circulation* is available at <http://circ.ahajournals.org>

DOI: 10.1161/CIRCULATIONAHA.109.901496

**Table 1. Baseline Characteristics, Treatment, and 6-Month Results for 20 PPCM Patients**

Patient	Group	Age, y	Parity, n	Symptom Onset Postpartum, d	Carvedilol Dose, mg BID	Enalapril Dose, mg/d	Furosemide Dose, mg/d	Aldactone Dose, mg/d
1	PPCM-Std	23	2	25	6.25	10	80	25
4	PPCM-Std	21	2	18	12.5	10	80	25
5	PPCM-Std	22	1	20	6.25	5	80	25
9	PPCM-Std	46	3	21	12.5	10	120	50
10	PPCM-Std	24	2	26	25	10	80	25
12	PPCM-Std	21	1	26	6.25	5	80	0
13	PPCM-Std	24	1	22	25	10	80	25
16	PPCM-Std	44	6	28	12.5	5	80	0
17	PPCM-Std	18	1	12	6.25	5	80	0
20	PPCM-Std	38	3	7	12.5	10	80	25
2	PPCM-Br	22	2	8	6.25	5	80	25
3	PPCM-Br	38	3	14	6.25	5	80	12.5
6	PPCM-Br	24	1	26	12.5	5	80	25
7	PPCM-Br	22	2	7	6.25	5	80	25
8	PPCM-Br	18	2	24	6.25	5	80	25
11	PPCM-Br	24	2	7	6.25	10	120	25
14	PPCM-Br	23	1	4	25	5	80	50
15	PPCM-Br	28	1	30	25	5	80	25
18	PPCM-Br	22	1	2	6.25	5	80	25
19	PPCM-Br	18	1	3	12.5	5	120	0

LVEDD indicates LV end-diastolic diameter; CHF, congestive heart failure; and NR, not reported.

enzyme that subsequently cleaves serum prolactin into its antiangiogenic and proapoptotic 16-kDa form.<sup>9</sup> This is associated with endothelial inflammation, impaired cardiomyocyte metabolism, and reduced myocardial contraction, suggesting that oxidative stress, inflammation, and prolactin may be interconnected and responsible for initiating PPCM.

Similarly, we found evidence for increased oxidative stress, enhanced cathepsin D activity, and increased prolactin cleavage in patients with acute PPCM.<sup>9</sup> More recently, we documented a close correlation between N-terminal brain natriuretic peptide (NT-proBNP; a marker of ventricular wall stress and heart failure), prolactin, and markers of oxidative stress (oxidized low-density lipoprotein) and inflammation (interferon- $\gamma$ ), further supporting the detrimental role of the oxidative stress–prolactin axis.<sup>10</sup>

Importantly, blockade of prolactin with the dopamine-2D agonist bromocriptine prevented the onset of PPCM in mice and in 6 women at high risk of this condition as a result of documented PPCM in a previous pregnancy.<sup>9</sup> Several case reports have also described seemingly beneficial effects from the addition of bromocriptine to standard heart failure therapy in patients with acute PPCM.<sup>9,11,12</sup> Although these preliminary results suggesting beneficial effects of bromocriptine treatment in patients with acute PPCM appear promising, concerns have been raised about the risk of thrombotic complications, including cerebral vascular incident and myocardial infarction, related to bromocriptine therapy<sup>13–16</sup> and the consequences for the children of these patients because the mothers are unable to breast-feed.<sup>17</sup>

The present work summarizes data from the first randomized study to assess the efficacy of bromocriptine on recovery

of left ventricular (LV) function, symptom status, and other clinical measures in patients presenting within the first month postpartum with new-onset symptomatic PPCM and an LV ejection fraction (LVEF) <35%. The progress of the newborn children over the 6-month follow-up period was also studied. All open-label efficacy assessments were made by independent blinded investigators.

## Methods

### Study Design and Patient Recruitment

This study was approved by the Human Research Ethics Committee of the University of the Witwatersrand, Johannesburg, South Africa, and complies with the Declaration of Helsinki. All patients and control subjects gave written informed consent before study entry. Twenty consenting consecutive patients diagnosed with PPCM and fulfilling the inclusion criteria were enrolled in the study. All patients were included and randomized with a computer-generated randomization list within 24 hours of diagnosis.

The study was conducted at the Chris Hani Baragwanath Hospital. Patients were referred from local clinics, secondary hospitals, and the Department of Obstetrics at the Chris Hani Baragwanath Hospital. History of preexisting cardiac symptoms and signs, occurrence of preeclampsia, and mode of delivery were obtained from the patient and confirmed by examination of the obstetric card carried by each patient. Symptoms and signs were recorded during first presentation at the cardiac unit at the Chris Hani Baragwanath Hospital (baseline) and after a follow-up period of 6 months. Clinical assessment, echocardiography, and blood analysis were performed at baseline and at 6 months. Cardiac magnetic resonance imaging (MRI) was obtained 4 to 6 weeks after diagnosis in patients receiving bromocriptine.

Inclusion criteria were symptoms of congestive heart failure that developed in the last month of pregnancy or during the first month postpartum, no other identifiable cause for heart failure, and LVEF

Table 1. Continued

Prolactin at Baseline, $\mu\text{g/L}$	Prolactin at 6 mo, $\mu\text{g/L}$	NYHA Class at Baseline	NYHA Class at 6 mo	LVEDD at Baseline, mm	LVEDD at 6 mo, mm	LVEF at Baseline, %	LVEF at 6 mo, %	Prespecified End Point of Poor Outcome
54	60	III	III	46	43	33	40	Yes
11	NR	II	NR	61	NR	28	NR	Yes (died 1 mo after baseline of sudden death)
9	NR	IV	NR	65	NR	18	NR	Yes (died 1 mo after baseline of CHF)
16	16	IV	III	62	60	24	22	Yes
50	48	II	II	60	62	19	24	Yes
50	9	II	I	59	52	34	50	No
5	NR	II	NR	62	NR	34	NR	Yes (died 3 mo after baseline of CHF)
233	7	III	III	57	43	32	44	Yes
52	NR	IV	NR	59	NR	14	NR	Yes (died on index admission)
30	8	II	II	60	74	32	37	No
135	8	IV	I	33	44	34	58	No
122	6	II	I	65	59	29	37	No
22	7	II	I	68	65	30	62	No
56	7	II	I	54	51	27	72	No
4	6	II	I	56	48	30	56	No
91	25	III	I	63	51	30	58	No
55	8	IV	I	55	47	33	60	No
18	13	II	I	49	34	32	75	No
NR	NR	III	NR	55	NR	18	NR	Yes (died on index admission)
5	12	III	I	54	56	8	48	No

<35% by transthoracic echocardiography. Exclusion criteria were systolic blood pressure >160 or <95 mm Hg or diastolic >105 mm Hg; clinical conditions other than cardiomyopathy that could increase plasma levels of inflammatory markers such as sepsis, autoimmune disease, or HIV positivity; significant liver disease (defined as liver transaminase levels >2 times the upper limit of normal); history of peptic ulcer disease; history of psychiatric disorders; impaired renal function (defined as urea and/or creatinine >1.5 times the upper limit of normal); and any clinical condition that, according to the investigators, precluded inclusion in the study such as ischemic heart disease or malignancy.

All patients received treatment with the diuretic furosemide and the angiotensin-converting enzyme (ACE) inhibitor enalapril. Patients with an LVEF <25% or LV thrombus received anticoagulation therapy with warfarin for 6 months. Carvedilol was added after resolution of overt heart failure. Enalapril and carvedilol doses were titrated upward as tolerated during the first 4 weeks after diagnosis and then remained unchanged throughout the remainder of the 6-month study period. Furosemide dose was decreased as indicated according to clinical assessment during the 6-month study period. The 10 patients randomized to standard therapy (PPCM-Std group) were treated as outlined above. The 10 patients randomized to standard therapy plus bromocriptine (PPCM-Br) received bromocriptine 2.5 twice daily for 2 weeks followed by 2.5 mg daily for 6 weeks in addition to standard heart failure therapy. After the initial screening and baseline visits, monthly outpatient visits were scheduled for clinical assessment and evaluation of medication compliance.

### Echocardiography, Cardiac MRI, Assessment of New York Heart Association Functional Class, and Noninvasive Blood Pressure Measurements

Patients were diagnosed by specialist physicians and cardiologists working at the Chris Hani Baragwanath Hospital. Patients were included in this trial within 24 hours after diagnosis once the diagnosis was confirmed by a cardiologist (K.S.), who repeated the

echocardiography. Two-dimensional and targeted M-mode echocardiography with Doppler color-flow mapping was performed with either a Hewlett Packard Sonos 5500 (Royal Philips Electronics, Amsterdam, the Netherlands) or a VIVID i (General Electric Company, Fairfield, Conn) echocardiography machine. Systolic and diastolic LV dimensions were measured according to the American Society of Echocardiography guidelines.<sup>18</sup> Measurements of LV dimensions and function were determined by use of the average of  $\geq 3$  cycles. Mitral effective regurgitant orifice area and Doppler parameters of diastolic function were measured according to American Society of Echocardiography guidelines.<sup>19,20</sup> Echocardiography was recorded on video or a compact disk and stored within the Soweto Cardiovascular Research Unit Division for further reference, audit purposes, and repeat blinded analysis by a single operator.

Cardiac MRI was performed 4 to 6 weeks after diagnosis in patients receiving bromocriptine to detect possible mural thrombi. Studies were performed with a 1.5-T MRI scanner (General Electric, Milwaukee, Wis) with a cardiac-dedicated phased-array coil. The cardiac MRI studies were ECG triggered by standard software. Studies consisted of steady-state free precession and spin echo. Short-axis, transverse, and coronal views were obtained. Steady-state free-precession sequences were performed to assess regional wall motion abnormalities and LVEF. Slice thickness was 8 mm with no gap, 256 $\times$ 256 matrix, 400-mm field of view, and 1.6 $\times$ 1.6 $\times$ 8-mm voxel size. The total time required for the investigation was 30 to 45 minutes. Gadolinium enhancement was not studied. Ventricular parameters were assessed in a standard manner by 1 observer using commercially available software (CAAS MRV, Pie Medical Imaging, Maastricht, the Netherlands). The cardiac MRI studies were assessed by 2 independent experienced observers who determined the presence or absence of intracavitary thrombi.

New York Heart Association (NYHA) functional class of each patient at baseline and follow-up visits was evaluated by a physician who was provided clinical data but was blinded to treatment allocation and was unaware of the results of the laboratory tests. Heart rate and systolic and diastolic blood pressures were measured noninvasively with a Critikon Dinamap Vital Signs Monitor 1846

and calculated as mean values from 5 readings. Measurements were made after a 30-minute resting period in patients in the sitting position with 2-minute intervals between successive measurements.

### Research-Specific Blood Tests

Blood (8 mL) was withdrawn from an antecubital vein, collected in prechilled tubes containing EDTA acid or clot activator, and mixed rapidly. Plasma or serum was separated by centrifugation at 2500 rpm for 7 minutes within 10 minutes of collection. Aliquots were stored at  $-80^{\circ}\text{C}$  for possible future analysis. High-sensitivity CRP (hsCRP) was measured as described previously.<sup>5,7,8</sup> In addition, prolactin, NT-proBNP, full blood count, liver function, and creatinine were measured. Serum levels of 16-kDa prolactin were measured by immunoprecipitation followed by Western blotting. Cathepsin D activity was assayed with the Sensolyte 520 Cathepsin D Assay Kit (MoBiTec) as previously described.<sup>9</sup>

### Analysis of Outcome

The prespecified combined end point of poor outcome was defined as death, NYHA functional class III/IV, or LVEF  $<35\%$  at 6 months as previously described.<sup>8</sup>

### Assessment of Children

Standard growth monitoring charts issued by the South African Department of Health and maintained by primary physicians were obtained for the newborn children of mothers included in this study. These charts listed the weight of each child at birth and at regular intervals to 6 months and beyond. Weights were plotted on World Health Organization weight-for-age Child Growth Standard charts for girls and boys.<sup>21,22</sup>

### Statistical Analysis

Data were analyzed with the SAS version 9.1 statistical program (SAS Institute Inc, Cary, NC). Results are expressed as mean  $\pm$  SD or median (range). Comparison between groups at baseline and within groups (baseline to 6 months) of class variables was analyzed by  $\chi^2$  test or the Fisher exact test when adequate. NT-proBNP data were log transformed. To assess differences between the 2 treatment groups, we analyzed mean changes (baseline to 6 months) in all continuous variables with a *t* test or an exact Wilcoxon 2-sample test when distribution was not normal. For within-group comparisons, a paired *t* test or a sign test when distribution was not normal was performed. Significance was assumed at a 2-sided value of  $P < 0.05$ .

## Results

### Baseline Characteristics and Treatment

Ninety-three patients with suspected PPCM were screened to recruit 20 consecutive patients with confirmed PPCM who were HIV negative and presented within 1 month postpartum. As depicted in Tables 1 and 2, the baseline characteristics of patients in the PPCM-Br and PPCM-Std groups were similar in terms of age, parity, NYHA functional class, systolic and diastolic blood pressures, heart rate, LV end-diastolic and end-systolic dimensions, and LVEF. Median prolactin and median NT-proBNP levels were comparable, whereas serum levels of 16-kDa prolactin and cathepsin D activity were elevated to a similar degree in all patients (Figure 1).

Treatment with standard heart failure medications was similar between the PPCM-Br and PPCM-Std groups (Table 1). Median dose of enalapril in the PPCM-Br group was 5 mg/d (range, 5 to 10 mg/d) and in the PPCM-Std group was 10 mg/d (range, 5 to 10 mg/d). Median dose of carvedilol in the PPCM-Br group was 6.25 mg twice daily (range, 6.25 to 25 mg) and in the PPCM-Std group was 12.5 mg twice daily (range, 6.25 to 25 mg). Median dose of furosemide at 6

**Table 2. Baseline Characteristics of PPCM-Br Versus PPCM-Std Patients**

	PPCM-Br (n=10)*	PPCM-Std (n=10)*	<i>P</i>
Clinical parameters			
Age, y	24 $\pm$ 6	28 $\pm$ 10	0.60
Parity, n (range)	1.5 (1–3)	2 (1–6)	0.52
Systolic blood pressure, mm Hg	116 $\pm$ 23	110 $\pm$ 19	0.50
Diastolic blood pressure, mm Hg	70 $\pm$ 16	76 $\pm$ 18	0.45
Heart rate, bpm	102 $\pm$ 13	108 $\pm$ 15	0.34
NYHA functional class, n (%)			1.00
II	5 (50)	5 (50)	
III/IV	5 (50)	5 (50)	
Echocardiographic parameters			
LVEDD, mm	55 $\pm$ 10	59 $\pm$ 5	0.25
LVESD, mm	46 $\pm$ 9	52 $\pm$ 6	0.16
LVEF, %	27.2 $\pm$ 8.1	26.9 $\pm$ 7.6	0.87
Mitral regurgitation (grade)	2.1 $\pm$ 0.6	1.9 $\pm$ 0.6	0.70
Mitral ERO, cm <sup>2</sup>	0.45 $\pm$ 0.13	0.44 $\pm$ 0.18	0.90
Laboratory parameters			
Hemoglobin, g/dL	13.0 $\pm$ 2.2	11.8 $\pm$ 1.9	0.22
Creatinine, $\mu\text{mol/L}\dagger$	71 (6–109)	66 (5–96)	0.43
hsCRP, mg/L†	7.8 (1.1–58.0)	6.0 (4.0–115.3)	0.86
Prolactin, $\mu\text{g/L}\dagger$	49.9 (3.8–135.0)	30.0 (5.1–233.0)	0.87
Log NT-proBNP	8.54 $\pm$ 1.14	8.45 $\pm$ 1.24	0.88

LVEDD indicates LV end-diastolic diameter; LVESD, LV end-systolic diameter; and ERO, effective regurgitant orifice.

\*Values are mean  $\pm$  SD unless otherwise specified.

†Values are median (range).

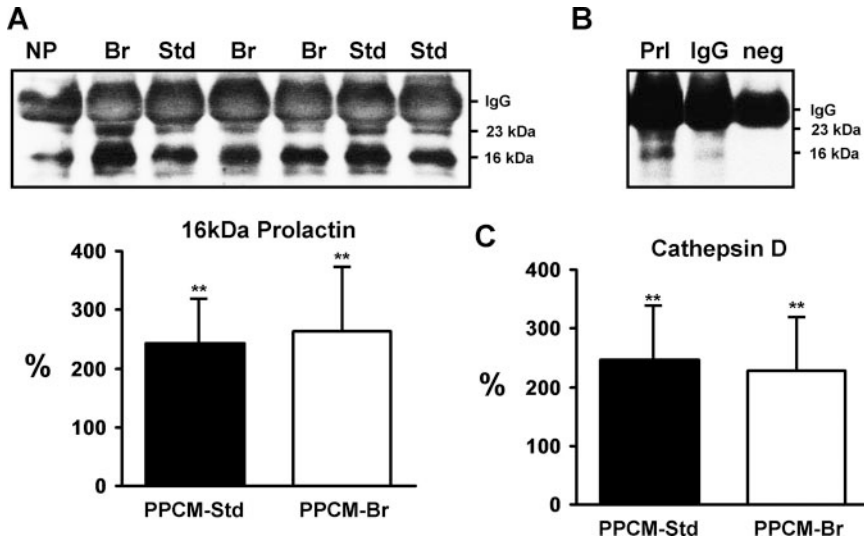
months was 80 mg/d (range, 80 to 120 mg). All patients, including those with normalized LV systolic function, continued on medical therapy with ACE inhibitor and carvedilol during the 6-month study period. Cardiac transplantation or implantation of a LV assist device is not performed in state hospital patients in the Gauteng province of South Africa.

### Hemodynamic and Echocardiographic Parameters

Changes in systolic and diastolic blood pressures and heart rate from baseline to 6 months were not significantly different between the 2 treatment groups. In contrast, recovery of LVEF between baseline and 6 months was greater in the PPCM-Br group (31%) than in the PPCM-Std group (9%;  $P=0.012$ ; Table 3 and Figure 2). Furthermore, the degree of mitral regurgitation significantly improved in the PPCM-Br group compared with the PPCM-Std group ( $P=0.013$ ), as did several parameters of diastolic function (Table 3). No significant differences were observed in LV end-diastolic and end-systolic dimension change from baseline to 6 months between the 2 groups (Table 3).

### NYHA Functional Class

All 9 surviving patients in the PPCM-Br group recovered to NYHA functional class I at 6 months. In contrast, all patients



**Figure 1.** Analysis of prolactin subforms and cathepsin D activity in baseline serum probes from PPCM patients. A, Representative Western blot showing 16-kDa prolactin immunoprecipitated from serum probes of PPCM patients and from serum of a nulliparous (NP) control. Bar graph depicts 16-kDa prolactin serum levels in PPCM-Std (Std; n=7) and PPCM-Br (Br; n=8) vs the mean value of NP (n=3), which was set at 100% (\*\**P*<0.01 vs NP). B, The specificity of the immunoprecipitation (IP) was confirmed with anti-prolactin antibodies (Prl), nonspecific immunoglobulin G (IgG), and no antibody (neg) in a PPCM serum probe, followed by detection of 16-kDa prolactin by Western blot. C, Bar graph depicting cathepsin D activity in serum probes from PPCM patients (PPCM-Std, n=8; PPCM-Br, n=9) at baseline and in NP (n=7). Mean value of NP was set at 100% (\*\**P*<0.01 vs NP).

from the PPCM-Std group who survived 6 months were in NYHA functional class II (3 patients) or III (3 patients) (Tables 1 and 4).

**Survival**

The single patient who died in the PPCM-Br group presented in severe heart failure and survived only 7 days. All 9 remaining patients in the PPCM-Br group survived 6 months. Four patients in the PPCM-Std group died during the 6-month follow-up period: 1 died of heart failure during the index admission, 2 died of heart failure 4 to 12 weeks after

diagnosis, and 1 experienced sudden cardiac death 1 month after baseline assessment.

**Laboratory Parameters**

There was a difference in change of log NT-proBNP levels from baseline to 6 months of borderline statistical significance in the PPCM-Br patients compared with the PPCM-Std patients (*P*=0.05), whereas the reductions in prolactin and hsCRP levels at 6 months were similar between the 2 groups (Table 5).

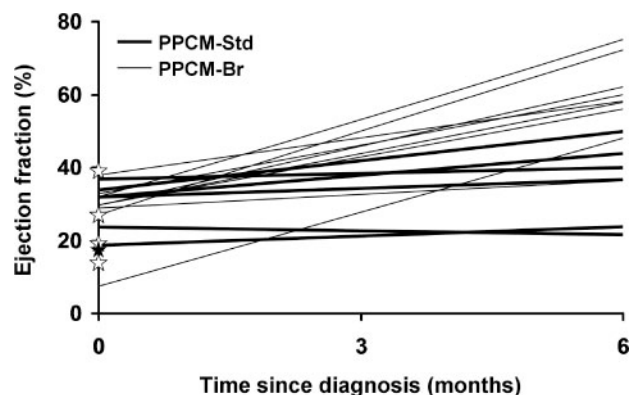
**Table 3. Comparison of Hemodynamic and Echocardiographic Parameters in PPCM-Br and PPCM-Std Patients at Baseline and 6 Months**

	PPCM-Br Baseline (n=10)*	PPCM-Br 6 Months (n=9)*	PPCM-Std Baseline (n=10)*	PPCM-Std 6 Months (n=6)*	<i>P</i> †
<b>Clinical parameters</b>					
Systolic blood pressure, mm Hg	116±23	118±13	110±19	115±9	0.78
Diastolic blood pressure, mm Hg	70±16	74±9	76±18	73±6	0.77
Heart rate, bpm	102±13	64±7	108±15	79±15	0.22
<b>Echocardiographic parameters</b>					
LVEDD, mm	55±10	51±9	59±5	56±12	0.50
LVESD, mm	46±9	34±10	52±6	45±11	0.18
LVEF, %	27±8	58±11	27±8	36±11	0.0007
Mitral regurgitation (grade)	2.1±0.6	0.22±0.44	1.9±0.6	1.5±1.0	0.0042
Mitral ERO, cm <sup>2</sup>	0.45±0.13	0.11±0.03	0.44±0.18	0.34±0.18	0.02
Left atrial diameter, cm	3.54±0.25	3.36±0.53	3.83±0.62	3.93±0.83	0.25
Mitral E velocity, cm/s	86±19	66±24	89±23	85±24	0.53
Mitral A velocity, cm/s	32±7	48±19	33±6	45±12	0.80
Mitral E velocity/A velocity ratio	2.82±0.76	1.63±1.13	2.73±0.68	1.94±0.67	0.82
Deceleration time, ms	118±26	197±59	136±30	168±36	0.08
Mitral medial annular (E') TDI velocity, cm/s	7.0±1.3	12.4±2.4	6.5±1.1	7.3±2.5	0.014
E/E' (medial annular velocity)	12.5±3.0	5.4±2.5	14.0±4.6	12.4±4.6	0.08
Mitral lateral annular (E') TDI velocity, cm/s	7.2±1.1	12.4±2.5	6.6±0.97	7.3±2.5	0.007
E/E' (lateral annular velocity)	12.0±2.0	5.4±2.5	13.8±4.2	12.1±3.9	0.051

Abbreviations as in Table 2, plus TDI indicates tissue Doppler imaging.

\*Values are mean±SD.

†Comparing the change from baseline to 6 months in PPCM-Br and PPCM-Std patients.



**Figure 2.** Change in LVEF from baseline to 6 months among survivors. Stars represent baseline LVEF for patients who died during the study period.

### Combined Measure of Poor Outcome

The combined measure of poor outcome that included LVEF <35% (surviving PPCM-Br, 0 of 9 [0%] versus surviving PPCM-Std, 2 of 6 [33%]), NYHA functional class III/IV at 6 months (surviving PPCM-Br, 0 of 0 [0%] versus surviving PPCM-Std, 3 of 6 [50%]), or death within 6 months (PPCM-Br, 1 of 10 [10%] versus PPCM-Std, 4 of 10 [40%]) revealed that the PPCM-Br patients had better outcome than the PPCM-Std patients ( $P=0.006$ ; Figure 3).

### Thrombi and Thromboembolism

No adverse effects, including thromboembolism, were reported in either group. Cardiac MRI was performed at 4 to 6 weeks after diagnosis in 8 of the 10 patients in the PPCM-Br group to assess for thrombus formation. MRI results were not available for 1 patient who died before becoming stable enough for the MRI, and the images acquired for a second patient were not of sufficient quality for reliable assessment. None of the remaining patients had intracavitary thrombi (Figure 4).

### Infant Growth Curves and Survival

All 21 children of the PPCM-Br and PPCM-Std patients showed normal growth curves when plotted on the World Health Organization standard weight-for-age growth charts (Figure 5A and B). Although the survival of all 21 children through the 6-month follow-up period was verified, weight-for-age data at 6 months were available for only 13 children.

**Table 4. Comparison of NYHA Functional Class in PPCM-Br and PPCM-Std Patients at Baseline and 6 Months**

	PPCM-Br at Baseline (n=10), n (%)	PPCM-Br at 6 mo (n=9), n (%)	PPCM-Std at Baseline (n=10), n (%)	PPCM-Std at 6 mo (n=6), n (%)	<i>P</i> *
NYHA functional class					0.008
I	0	9 (100)	0	0	
II	5 (50)		5 (50)	3 (50)	
III/IV	5 (50)		5 (50)	3 (50)	

\*Comparing the change from baseline to 6 months in PPCM-Br and PPCM-Std patients.

The mothers of 5 children died during the course of the study and family members could not provide the children's growth charts, and the growth charts of the 3 other children with missing data were incomplete because of challenges in the delivery of quality care in the primary healthcare system in South Africa. However, all children had weight data up to the age of 3 months, and there were no significant differences in growth curves between the children of the PPCM-Br patients and those of the PPCM-Std patients.

### Discussion

This prospective, single-center, randomized, open-label pilot study with blinded efficacy assessments showed that the addition of bromocriptine to standard heart failure therapy in women with PPCM appeared to result in significantly greater improvements in NYHA functional class, LV systolic and diastolic function, and degree of functional mitral regurgitation than seen with standard therapy alone. Bromocriptine seemed to be well tolerated, and no thrombotic complications were observed. Moreover, although bromocriptine stopped lactation and breast-feeding in the PPCM patients, the growth and survival of those infants were normal. However, our study was very small, and these findings are in no way definitive. On the other hand, these findings are encouraging and suggest that a larger study should be considered.

This proof-of-concept pilot study was performed in a group of homogeneous patients in terms of ethnic background, age, time point of diagnosis, and baseline characteristics. Unfortunately, blinding of the study was not possible because the PPCM-Std group continued to nurse their infants while the PPCM-Br group could not breast-feed because of bromocriptine-induced cessation of lactation. However, investigators were blinded for data analysis. We believe that the homogeneous patient cohort, well-balanced baseline characteristics, and blinded assessment of outcomes to some extent compensate for the small size of our study and its open-label design.

The design of the present study was chosen on the basis of our hypothesis that a cleaved form of the hormone prolactin initiates and drives PPCM and that early pharmacological blockade of prolactin with bromocriptine may improve the condition of patients with acute onset of PPCM before irreversible damage caused by cell death, fibrosis, and remodeling. Increased serum levels of 16-kDa prolactin and augmented cathepsin D activity at baseline in PPCM patients included in the present study support this hypothesis. The rationale for the dose and length of bromocriptine therapy was based on previous observations in animal models and a previous pilot study,<sup>11</sup> as well as several case reports in patients with PPCM.<sup>12,23,24</sup> We believe that some of the apparently beneficial effects of bromocriptine result from eliminating the detrimental 16-kDa prolactin form, the harmful effects of which on the heart and the vasculature have been described experimentally.<sup>11,24</sup> In addition, both forms of prolactin promote inflammation,<sup>24</sup> a reaction that seems to be associated with PPCM in this African cohort, because most patients displayed increased serum levels of the inflammatory marker hsCRP.<sup>5</sup>

**Table 5. Comparison of Laboratory Parameters in PPCM-Br and PPCM-Std Patients at Baseline and 6 Months**

	PPCM-Br at Baseline (n=10)	PPCM-Br at 6 mo (n=9)	PPCM-Std at Baseline (n=10)	PPCM-Std at 6 mo (n=6)	P†
Hemoglobin, g/dL‡	13.0±2.2	12.7±1.5	11.8±1.9	13.0±1.4	0.58
Creatinine, μmol/L‡	71 (6–109)	78 (52–113)	66 (5–96)	62 (41–73)	0.86
hsCRP, mg/L‡	7.8 (1.1–58.0)	4.7 (1.0–10)	6.0 (4.0–115.3)	1.8 (1.1–15.1)	0.18
Prolactin, μg/L‡	49.9 (3.8–135.0)	8.0 (5.9–25.0)	30.0 (5.1–233.0)	12.5 (7.4–60.0)	0.72
Log NT-proBNP†	8.54±1.14	5.62±0.80	8.45±1.24	6.64±0.60	0.056

\*Comparing the change from baseline to 6 months in PPCM-Br and PPCM-Std patients.

†Values are mean±1SD.

‡Values are median (range).

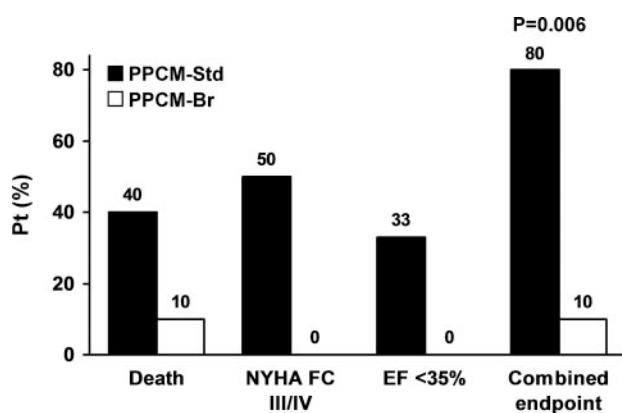
Apart from its prolactin blocking role, bromocriptine may exert additional “off-target effects” in PPCM patients. For example, effects of bromocriptine on hemodynamic parameters in patients with heart failure were described 30 years ago<sup>25</sup> before treatment with ACE inhibitors and β-blockers was routine. Positive effects of bromocriptine on blood pressure, vascular resistance, and plasma norepinephrine levels have been described.<sup>25</sup> Moreover, bromocriptine has been shown to increase stroke volume index and to decrease LV filling pressure.<sup>25,26</sup> Whether these potential beneficial effects of bromocriptine on hemodynamic parameters play a role in contemporary patients with heart failure who are treated with ACE inhibitors and β-blockers remains to be elucidated.

Bromocriptine may also affect metabolic parameters. We observed that PPCM patients display increased oxidized low-density lipoprotein serum levels compared with healthy postpartum women,<sup>9</sup> suggesting impaired antioxidative defense mechanisms and potential metabolic perturbations. In turn, Wexler and McMurtry<sup>27</sup> reported that, experimentally, bromocriptine treatment reduced triglyceride, free fatty acid, total cholesterol, and glucose levels in isoproterenol-induced heart failure. Whether such parameters play a role in the pathophysiology of PPCM is currently under investigation in experimental models.

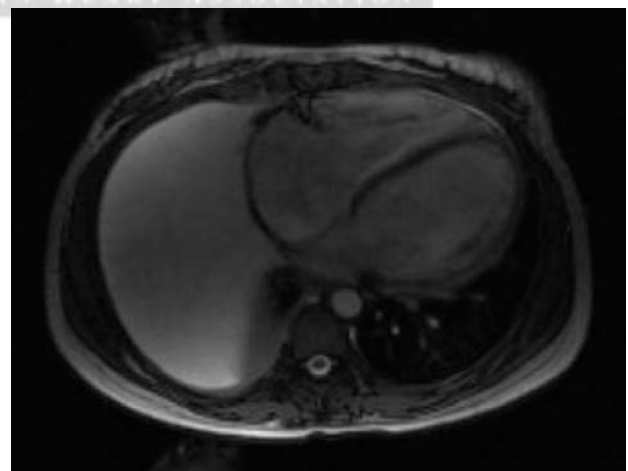
In addition, bromocriptine has been shown to inhibit oxidative stress-induced cell death in neuronal cells by

dopamine D2 receptor-dependent transactivation of c-Src/endothelial growth factor receptor and downstream PI3K-Akt signaling, which results in upregulation of antiapoptotic Bcl-2.<sup>28</sup> Preliminary data show that bromocriptine treatment increases Akt activation and upregulates Bcl-2 expression in the heart of postpartum mice (D.H.-K., unpublished data, 2010), suggesting that bromocriptine may indeed have direct cardioprotective effects. Taken together, these data show that off-target effects of bromocriptine on metabolism, oxidative stress, and cytoprotection may act in concert with its prolactin-lowering capacity and may help to explain the positive effects of prolonged treatment with bromocriptine beyond an effective prolactin blockade.

We found that the overall mortality rate in the PPCM-Std group was high. Other studies have demonstrated a lower PPCM mortality rate (averaging ≈15%), including our own series of 100 patients<sup>1,5,8</sup> and the prospective long-term study by Fett et al.<sup>4</sup> One explanation for the differences in mortality rate between the present study and our other series of 100 patients might be the inclusion criteria. In the present study, patients were enrolled very early (within 24 hours after diagnosis). This timely enrollment was not possible for the previous cohort of 100 patients. As a consequence, some patients in that study died between diagnosis and enrollment. In addition, our previous study included patients diagnosed

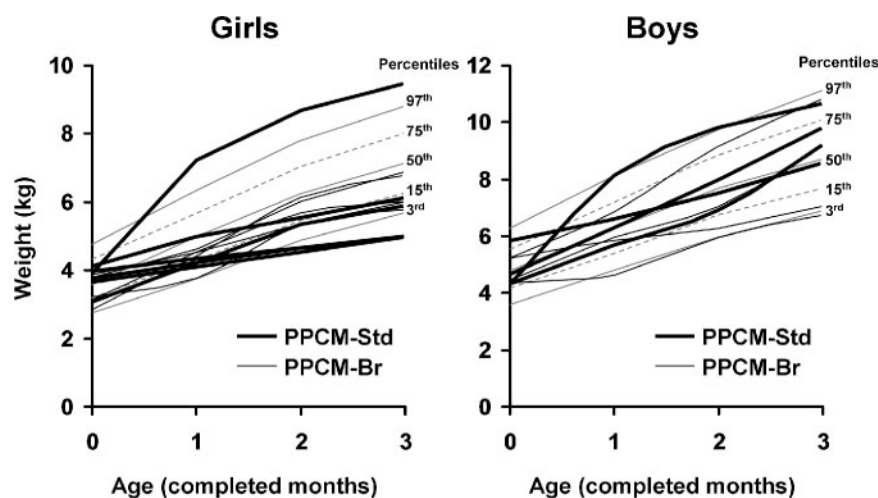


**Figure 3.** Comparison of 6-month prespecified poor outcome, including death, NYHA functional class (FC) III/IV, and LVEF <35% among survivors, and the combined end point including all 3 of these end points for PPCM-Br vs PPCM-Std patients (Pt).



**Figure 4.** Cardiac MRI (transverse view, steady-state free-precession sequence) in a young African woman 2 months after delivery demonstrates marked dilation of both ventricles and the right atrium. LVEF is 8%.





**Figure 5.** Growth and survival of children of PPCM study mothers from birth to 3 months plotted on World Health Organization growth charts.

between 4 weeks and 5 months postpartum. The development of symptoms >4 weeks postpartum may be a manifestation of milder forms of this disease.

In this study, the cause of death in the PPCM-Std group was either heart failure or sudden cardiac death, with all deaths occurring within 3 months of randomization. In contrast, the 1 patient who died in the PPCM-Br group was admitted with severe heart failure and died 7 days after diagnosis while still in the intensive care unit.

The safety of bromocriptine treatment during pregnancy has already been assessed by a survey of 1400 pregnant women who took bromocriptine primarily in the first few weeks of pregnancy and found no increased rates of abortion or congenital malformations.<sup>29</sup> In the postpartum phase, bromocriptine has been used worldwide since 1980 to suppress lactation. However, concerns have been raised about a potential risk for cerebral and cardiovascular complications, as emphasized in some case reports describing stroke,<sup>13</sup> seizure,<sup>15</sup> coronary artery thrombosis,<sup>15</sup> and coronary artery vasospasm.<sup>14</sup> Although these data were observational, bromocriptine was withdrawn from the market in the United States in 1994 for use as an agent to block lactation.

It is known that the postpartum period is associated with an increased risk of thrombosis and myocardial infarction, probably because of changes in coagulation that may have evolved as a protection from bleeding caused by miscarriage and childbirth.<sup>30</sup> We observed no adverse effects in any of the 9 surviving patients in the PPCM-Br group. However, the number of patients studied was small, and because of poor cardiac function, all patients in the present study received subcutaneous low-molecular-weight heparin during their index admission. Therefore, although the data suggesting that bromocriptine has a prothrombotic effect are not robust, we cannot rule out such an effect.

There has been some concern that PPCM patients in developing countries treated with bromocriptine will no longer be able to breast-feed, which may increase the risk for malnutrition and infection in their infants.<sup>17</sup> The survival rate of infants of the PPCM-Br patients was not affected, and no serious illnesses were reported, although the number of children we studied was very small. Normal weight gain from

birth to 3 months was observed in all infants and continued to be normal during the 6-month follow-up period in those for whom data were available. Although this was a small study with only short-term follow-up, our results suggest no disadvantage to the infant of a PPCM patient who could not breast-feed because of bromocriptine treatment. However, we are aware that larger studies in Soweto and other developing areas in the world are needed to support this statement.

## Conclusions

In this trial, the addition of bromocriptine to standard heart failure therapy appeared to improve LVEF and a composite clinical outcome in women, although the number of patients studied was small and the results cannot be considered definitive. Larger-scale multicenter and blinded studies are in progress to test this strategy more robustly.

## Acknowledgments

We would like to thank the clinicians at CH Baragwanath Hospital for referring the patients to us and Dr Peter Zartner for his valuable input in measuring outcome in the children. The excellent work of Bridget Phooko is acknowledged.

## Sources of Funding

Laboratory investigations have been funded through the Medical Research Council of South Africa and the University of the Witwatersrand. Experimental work has been supported by the Leducq Foundation.

## Disclosures

None.

## References

1. Sliwa K, Fett J, Elkayam U. Peripartum cardiomyopathy. *Lancet*. 2006; 368:687–693.
2. Sliwa K, Tibazarwa K, Hilfiker-Kleiner D. Management of peripartum cardiomyopathy. *Curr Heart Fail Rep*. 2008;5:238–244.
3. Elkayam U, Akhter MW, Singh H, Khan S, Bitar F, Hameed A, Shotan A. Pregnancy-associated cardiomyopathy: clinical characteristics and a comparison between early and late presentation. *Circulation*. 2005;111: 2050–2055.
4. Fett JD, Christie LG, Carraway RD, Murphy JG. Five-year prospective study of the incidence and prognosis of peripartum cardiomyopathy at a single institution. *Mayo Clin Proc*. 2005;80:1602–1606.
5. Sliwa K, Forster O, Libhaber E, Fett JD, Sundstrom JB, Hilfiker-Kleiner D, Ansari AA. Peripartum cardiomyopathy: inflammatory markers as

- predictors of outcome in 100 prospectively studied patients. *Eur Heart J*. 2006;27:441–446.
6. Brar SS, Khan SS, Sandhu GK, Jorgensen MB, Parikh N, Hsu JW, Shen AY. Incidence, mortality, and racial differences in peripartum cardiomyopathy. *Am J Cardiol*. 2007;100:302–304.
  7. Sliwa K, Skudicky D, Bergemann A, Candy G, Puren A, Sareli P. Peripartum cardiomyopathy: analysis of clinical outcome, left ventricular function, plasma levels of cytokines and Fas/APO-1. *J Am Coll Cardiol*. 2000;35:701–705.
  8. Sliwa K, Skudicky D, Candy G, Bergemann A, Hopley M, Sareli P. The addition of pentoxifylline to conventional therapy improves outcome in patients with peripartum cardiomyopathy. *Eur J Heart Fail*. 2002;4:305–309.
  9. Hilfiker-Kleiner D, Kaminski K, Podewski E, Bonda T, Schaefer A, Sliwa K, Forster O, Quint A, Landmesser U, Doerries C, Luchtefeld M, Poli V, Schneider MD, Balligand JL, Desjardins F, Ansari A, Struman I, Nguyen NQ, Zschemisch NH, Klein G, Heusch G, Schulz R, Hilfiker A, Drexler H. A cathepsin D-cleaved 16 kDa form of prolactin mediates postpartum cardiomyopathy. *Cell*. 2007;128:589–600.
  10. Forster O, Hilfiker-Kleiner D, Ansari AA, Sundstrom JB, Libhaber E, Tshani W, Becker A, Yip A, Klein G, Sliwa K. Reversal of IFN- $\gamma$ , oxLDL and prolactin serum levels correlate with clinical improvement in patients with peripartum cardiomyopathy. *Eur J Heart Fail*. 2008;10:861–868.
  11. Hilfiker-Kleiner D, Meyer GP, Schieffer E, Goldmann B, Podewski E, Struman I, Fischer P, Drexler H. Recovery from postpartum cardiomyopathy in 2 patients by blocking prolactin release with bromocriptine. *J Am Coll Cardiol*. 2007;50:2354–2355.
  12. Jahns BG, Stein W, Hilfiker-Kleiner D, Pieske B, Emons G. Peripartum cardiomyopathy: a new treatment option by inhibition of prolactin secretion. *Am J Obstet Gynecol*. 2008;199:e5–e6.
  13. Iffy L, Lindenthal J, McArdle JJ, Ganesh V. Severe cerebral accidents postpartum in patients taking bromocriptine for milk suppression. *Isr J Med Sci*. 1996;32:309–312.
  14. Hopp L, Weisse AB, Iffy L. Acute myocardial infarction in a healthy mother using bromocriptine for milk suppression. *Can J Cardiol*. 1996;12:415–418.
  15. Loewe C, Dragovic LJ. Acute coronary artery thrombosis in a postpartum woman receiving bromocriptine. *Am J Forensic Med Pathol*. 1998;19:258–260.
  16. Dutt S, Wong F, Spurway JH. Fatal myocardial infarction associated with bromocriptine for postpartum lactation suppression. *Aust NZ J Obstet Gynaecol*. 1998;38:116–117.
  17. Fett JD. Caution in the use of bromocriptine in peripartum cardiomyopathy. *J Am Coll Cardiol*. 2008;51:2083.
  18. Sahn DJ, DeMaria A, Kisslo J, Weyman A. Recommendations regarding quantitation in M-mode echocardiography: results of a survey of echocardiographic measurements. *Circulation*. 1978;58:1072–1083.
  19. Zoghbi WA, Enriquez-Sarano M, Foster E, Grayburn PA, Kraft CD, Levine RA, Nihoyannopoulos P, Otto CM, Quinones MA, Rakowski H, Stewart WJ, Waggoner A, Weissman NJ. Recommendations for evaluation of the severity of native valvular regurgitation with two-dimensional and Doppler echocardiography. *J Am Soc Echocardiogr*. 2003;16:777–802.
  20. Quinones MA, Otto CM, Stoddard M, Waggoner A, Zoghbi WA. Recommendations for quantification of Doppler echocardiography: a report from the Doppler Quantification Task Force of the Nomenclature and Standards Committee of the American Society of Echocardiography. *J Am Soc Echocardiogr*. 2002;15:167–184.
  21. World Health Organization. Girls' percentiles. Available at: [http://www.who.int/childgrowth/standards/chts\\_girls\\_p.pdf](http://www.who.int/childgrowth/standards/chts_girls_p.pdf). Accessed March 17, 2009.
  22. World Health Organization. Boys' percentiles. Available at: [http://www.who.int/childgrowth/standards/chts\\_boys\\_p.pdf](http://www.who.int/childgrowth/standards/chts_boys_p.pdf). Accessed March 17, 2009.
  23. Habedank D, Kuhnle Y, Elgeti T, Dudenhausen JW, Haverkamp W, Dietz R. Recovery from peripartum cardiomyopathy after treatment with bromocriptine. *Eur J Heart Fail*. 2008;10:1149–1151.
  24. Hilfiker-Kleiner D, Sliwa K, Drexler H. Peripartum cardiomyopathy: recent insights in its pathophysiology. *Trends Cardiovasc Med*. 2008;18:173–179.
  25. Francis GS, Parks R, Cohn JN. The effects of bromocriptine in patients with congestive heart failure. *Am Heart J*. 1983;106(pt 1):100–106.
  26. Goldberg LI. The role of dopamine receptors in the treatment of congestive heart failure. *J Cardiovasc Pharmacol*. 1989;14(suppl 5):S19–S27.
  27. Wexler BC, McMurtry JP. Hormonal and metabolic changes during acute myocardial infarction in normotensive vs hypertensive rats. *Br J Ex Pathol*. 1983;64:124–136.
  28. Nair VD, Sealfon SC. Agonist-specific transactivation of phosphoinositide 3-kinase signaling pathway mediated by the dopamine D2 receptor. *J Biol Chem*. 2003;278:47053–47061.
  29. Turkalj I, Braun P, Krupp P. Surveillance of bromocriptine in pregnancy. *JAMA*. 1982;247:1589–1591.
  30. James AH, Brancazio LR, Ortel TL. Thrombosis, thrombophilia, and thromboprophylaxis in pregnancy. *Clin Adv Hematol Oncol*. 2005;3:187–197.

### CLINICAL PERSPECTIVE

Peripartum cardiomyopathy (PPCM) is a potentially life-threatening heart disease that occurs in previously healthy women. We identified prolactin, mainly its 16-kDa angiostatic and proapoptotic form, as a key factor in PPCM pathophysiology. Blockade of prolactin with the dopamine-2D agonist bromocriptine had previously been shown to prevent the onset of PPCM in mice and in women at high risk of this condition because of documented PPCM in a previous pregnancy. We recruited 20 women with onset of severe acute PPCM during the first month postpartum within 24 hours of diagnosis and randomized them into 2 groups: standard care (PPCM-Std; n=10) or standard care plus bromocriptine for 8 weeks (PPCM-Br, n=10). PPCM-Br patients displayed greater recovery of left ventricular ejection fraction compared with PPCM-Std patients at 6 months. Four PPCM-Std patients died; only 1 PPCM-Br patient did not survive. Significantly fewer PPCM-Br patients met the composite end point of poor outcome defined as death, New York Heart Association functional class III/IV, or left ventricular ejection fraction <35% at 6 months. Because the PPCM-Br mothers could not breast-feed, the outcome of their children was assessed. Infants of mothers in both groups showed normal growth and survival at 6 months. Our findings suggest that the addition of bromocriptine to standard heart failure therapy appears to improve left ventricular ejection fraction, functional class, and survival in women with severe acute PPCM with no obvious detriment to their children.